Spherical normal forms for resonant saddle points in \mathbb{C}^2 Bifurcation of Dynamical Systems and Numerics, Zagreb

Loïc Teyssier (Université de Strasbourg)

May 10^{th} , 2023

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Context

Local holomorphic dynamical systems in the complex plane \mathbb{C}^2

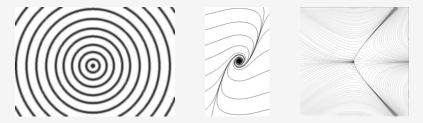


Theory and actual computation / decision regarding:

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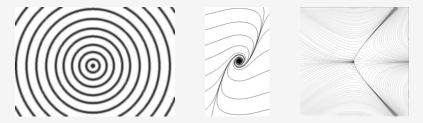
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Theory and actual computation / decision regarding:

- normal forms of foliations \mathcal{F}_X (=phase-portrait of vector field X)
- integrability of foliations \mathcal{F}_X (=Liouvillian first-integral for X)

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Reduced singularities

$$X(x,y) = (\lambda_1 x + \cdots) \frac{\partial}{\partial x} + (\lambda_2 y + \cdots) \frac{\partial}{\partial y} , \ \lambda_2 \neq 0$$

Eigenratio $\lambda := \lambda_1 / \lambda_2$

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1 $\lambda \notin \mathbb{R} \Longrightarrow X$ linearizable by analytic change of coordinates $(\exists W \in \mathbb{D}; \mathbb{C}(\mathbb{C}^2, 0)) = W^* X = \mathbb{D} W^{-1} (X \in W) = 0$

$$(\exists \Psi \in \text{Diff}(\mathbb{C}^2, 0)) \Psi^* X := \mathsf{D}\Psi^{-1} (X \circ \Psi) = \lambda_1 x \frac{\partial}{\partial x} + \lambda_2 y \frac{\partial}{\partial y}$$

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- 4 $\lambda \in \mathbb{Q}_{<0}$: linearizable or **resonant saddle**
- 5 $\lambda \in \mathbb{R}_{<0} \setminus \mathbb{Q}$: quasi-resonant saddles, too complicated

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General aim

Compute simple unique forms (normal forms) for X up to $\Psi \in \mathrm{Diff}\ (\mathbb{C}^2,0)$ and decide if X is integrable

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More modest aim

Describe normal forms, compute their finite jets and semi-decide integrability

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Actual aim

Do that for reduced resonant saddle singularities

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Theorem (Poincaré-Dulac 1904 / Bruno 1980)

Formal normal forms for reduced, resonant singularities $\lambda = -p/q$ with $p \wedge q = 1$ or (p, q) = (0, 1)

$$X \ \widehat{\sim} \ \widehat{X} := P(u) \left(x u^k \frac{\partial}{\partial x} + \left(1 + \mu u^k \right) \left(-p x \frac{\partial}{\partial x} + q y \frac{\partial}{\partial y} \right) \right)$$

(orbital) $k \in \mathbb{Z}_{>0}, \ \mu \in \mathbb{C}$ (temporal) $P \in \mathbb{C}[u]_{\leq k}$

resonant monomial $u = u(x, y) := x^q y^p$

Theorem (Loray 2004 / Schäfke-Teyssier 2015)

Analytic normal forms for saddle-nodes with 2 separatrices

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Remark

It is possible to compute symbolically finite jets of the normal form, hence integrability is semi-decidable

What is (almost) known

Écalle 2005

There exists a universal family SNF (spherical normal forms), depending on a twist parameter and whose elements are obtained by summing *twisted resurgent monomials*, that is in correspondence with orbital analytic classes of resonant foliations

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Remark

It is not possible to extract from his work an explicit expression for SNF

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What is not known

Remaining difficult cases

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What is not known

Remaining difficult cases

 Quasi-resonant saddle points (Loray: simple forms but not unique nor computable)

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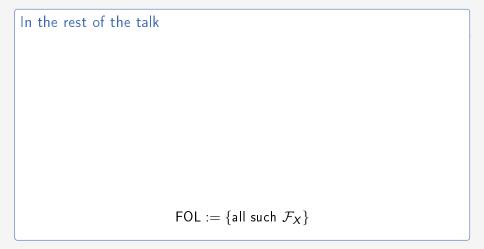
What is not known

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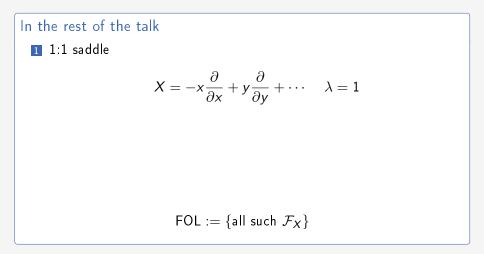
- Quasi-resonant saddle points (Loray: simple forms but not unique nor computable)
- Saddles nodes with only 1 separatrix

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Hypothesis on X



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Hypothesis on X

In the rest of the talk 1:1 saddle $X = -x\frac{\partial}{\partial x} + y\frac{\partial}{\partial y} + \cdots \quad \lambda = 1$ non-linearizable and most simple formal model 2 $X \widehat{\sim} x u \frac{\partial}{\partial x} + \left(-x \frac{\partial}{\partial x} + y \frac{\partial}{\partial y} \right) , \ u = xy$ FOL := {all such \mathcal{F}_X }

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Quotient $FOL/_{Diff(\mathbb{C}^2,0)}$

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Spherical normal forms for resonant saddle points in \mathbb{C}^2

Quotient $FOL/_{Diff(\mathbb{C}^2,0)}$

Heuristics

analytic class of \mathcal{F}_X = analytic class of leaf space of \mathcal{F}_X

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$$X = xu\frac{\partial}{\partial x} + \left(-x\frac{\partial}{\partial x} + y\frac{\partial}{\partial y}\right)$$
 has first-integral $H = y \exp \frac{1}{u}$



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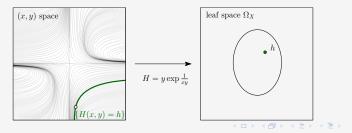
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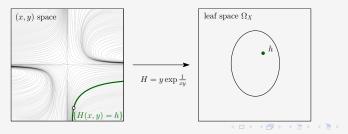
• \iff each leaf of \mathcal{F}_X is a level set $H^{-1}(h)$



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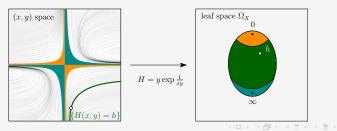
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- \Longleftrightarrow values h of H parameterize the leaf space Ω_X
- $H(\mathbb{C}^2 \setminus \{u = 0\}) = \mathbb{C}^{\times}$ and $\{u = 0\}$ corresponds to 0, ∞ (non-separable)



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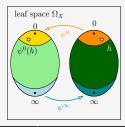
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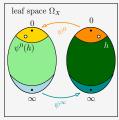
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Theorem (Martinet-Ramis 1983)

The mapping

$$\begin{split} \mathrm{MR} \; : \; \textit{FOL}/_{\mathrm{Diff}(\mathbb{C}^2, 0)} & \longrightarrow \left(\mathrm{Diff}\left(\mathbb{C}, 0\right)_{\mathrm{Id}} \times \mathrm{Diff}\left(\overline{\mathbb{C}}, \infty\right)_{\mathrm{Id}}\right) /_{\mathbb{Z}/2\mathbb{Z} \times \mathbb{C}^{\times}} \\ & [\mathcal{F}] \longmapsto \left[\left(\psi^0, \psi^\infty\right) \right] \end{split}$$

is well defined and bijective

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Z/2Z × C[×] ⊃ Aut (Ω_X) as an abstract non-Hausdorff complex curve
 Well-defined and injective: relatively easy

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- 2 Well-defined and injective: relatively easy
- 3 Surjective: difficult \rightarrow inverse problem

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1 We start with $\overline{\mathbb{C}} \coprod \overline{\mathbb{C}}/_{(\psi^0,\psi^\infty)}$, to be synthesized

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- We start with C ∐ C/(ψ⁰, ψ∞), to be synthesized
 We equip V[±] := V[±] × (C, 0) with xu∂/∂x + (-x∂/∂x + y∂/∂y) and the leaf coordinate h ↔ H = y exp 1/u
- 3 The manifold $\mathcal M$ is obtained by gluing $\mathcal V^+$ and $\mathcal V^-$ in *h*-space by $\left(\psi^0,\psi^\infty\right)$

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- 5 Newlander-Niremberg: $\mathcal{M}\simeq \left(\mathbb{C}^2,0
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Technical points

■ "The manifold *M* is obtained by gluing *V*⁺ and *V*⁻ in *h*-space by (ψ⁰, ψ[∞])"

 \longrightarrow Need to control the size of $H\left(\mathcal{V}^+ \cap \mathcal{V}^ight)$

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 - \rightarrow No control on the «shape» of \mathcal{F}
 - \rightarrow No privileged choice (normal form)



Remedies

■ Introduce a twist parameter $c \gg 1$ to control $H(\mathcal{V}^+ \cap \mathcal{V}^-)$ and change the formal model:

$$X_0 := xurac{\partial}{\partial x} + c\left(1-u^2
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$$H = y \exp\left(\frac{c}{u} + cu\right)$$
 diam $\left(H\left(\mathcal{V}^+ \cap \mathcal{V}^-\right)\right) = O\left(e^{-c}\right)$

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 diam $\left(H\left(\mathcal{V}^+ \cap \mathcal{V}^-\right)\right) = O\left(e^{-c}\right)$

A holomorphic fixed-point allows to control the produced foliation

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1 Let $(\psi^0, \psi^\infty) \in \text{Diff}(\mathbb{C}, 0)_{\text{Id}} \times \text{Diff}(\mathbb{C}, 0)_{\text{Id}}$ be given. Choose c so that $H(\mathcal{V}^+ \cap \mathcal{V}^-) \subset \text{domain}(\psi^{0,\infty})$

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- 2 Synthesize by fixed-point N^{\pm} bounded on $V^{\pm} imes \mathbb{C}$ so that

$$\begin{cases} H^+ &= \Psi^0 \left(H^- \right) \\ H^- &= \Psi^\infty \left(H^+ \right) \end{cases} \quad H^\pm = H \exp N^\pm \end{cases}$$

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3 Recover

$$R := \frac{xu\frac{\partial}{\partial x}N^{\pm}}{1 + \left(-x\frac{\partial}{\partial x} + y\frac{\partial}{\partial y}\right)N^{\pm}} \in \mathbb{C}\left\{u, y\right\}$$

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4 Bounds on growth \implies $R = uy (r_0 (y) + ur_1 (y))$ for $r_j \in \mathbb{C} \{y\}$

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Theorem (Teyssier 2022) Let $\mathcal{F}_X \in FOL$ be given.

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Theorem (Teyssier 2022) Let $\mathcal{F}_{\mathbf{X}} \in FOL$ be given. 1 Form unique up to $GL_2(\mathbb{C})$ $X \sim \frac{1}{1+G} \left(x u \frac{\partial}{\partial x} + (c (1-u^2) + R) \left(-x \frac{\partial}{\partial x} + y \frac{\partial}{\partial y} \right) \right)$ (orbital) R (temporal) $G \in uy\mathbb{C}\{y\}[u]_{<1}$

Loïc Teyssier (Université de Strasbourg) | May 10th, 2023

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Theorem (Teyssier 2022) Let $\mathcal{F}_{\mathbf{X}} \in FOL$ be given. **1** Form unique up to $GL_2(\mathbb{C})$ $X \sim \frac{1}{1+G} \left(x u \frac{\partial}{\partial x} + (c (1-u^2) + R) \left(-x \frac{\partial}{\partial x} + y \frac{\partial}{\partial y} \right) \right)$ (orbital) R (temporal) $G \in uy\mathbb{C}\{y\}[u]_{<1}$ 2 Integrability $\iff R \in y^d \mathbb{C}[u]_{<1}$ (Bernoulli equation)

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Analytic «spherical» normal forms

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3 Triangular process, computable symbolically for all *n*

$$X_{\text{prepared}} = xu\frac{\partial}{\partial x} + (1 + uyA(x, y))\left(-x\frac{\partial}{\partial x} + y\frac{\partial}{\partial y}\right)$$
$$X_R = xu\frac{\partial}{\partial x} + (c(1 - u^2) + uyR(u, y))\left(-x\frac{\partial}{\partial x} + y\frac{\partial}{\partial y}\right)$$
$$A = \sum_n a_n(x)y^n \longmapsto R = \sum_n (\alpha_n + \beta_n u)y^n$$

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$$X_{\text{spherical}} = \frac{1}{1+G}X_R$$
 but so far only UX_R

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• Going from UX_R to $\frac{1}{1+G} X_R$ by $\Phi_{X_R}^T$:

$$X_R \cdot T = G + 1 - \frac{1}{U}$$

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Proposition (Period operator and cohomological equations)

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Proposition (Period operator and cohomological equations) Formal action

$$\mathbb{C} \xrightarrow{cst} \mathbb{C}[[x,y]] \xrightarrow{X_R} \mathbb{C}[[x,y]] \xrightarrow{\Pi} \mathbb{C}[u]_{\leq 1}$$

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Proposition (Period operator and cohomological equations) Analytic action

$$\mathbb{C} \xrightarrow{cst} \mathbb{C} \{x, y\} \xrightarrow{X_R} \ker \Pi \xrightarrow{\mathfrak{T}_R} h\mathbb{C} \{h\} \times \frac{1}{h}\mathbb{C} \{\frac{1}{h}\}$$
Period operator $\mathfrak{T}_R(f) = (\varphi^0, \varphi^\infty)$

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• $X_{\text{spherical}} = \frac{1}{1+G} X_R$ but so far only UX_R • Going from UX_R to $\frac{1}{1+G} X_R$ by $\Phi_{X_R}^T$:

$$X_R \cdot T = G + 1 - \frac{1}{U}$$

Proposition (Period operator and cohomological equations) Any $f \in \mathbb{C} \{x, y\}$ writes uniquely as

$$f = P + G + X_R \cdot F , \quad \begin{cases} P \in \mathbb{C} [u]_{\leq 1} \\ G \in yu\mathbb{C} \{y\} [u]_{\leq 1} \\ F \in \mathbb{C} \{x, y\} \end{cases}$$

Isomodulic deformations $c \mapsto R(c)$

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Saddle-nodes with only 1 separatrix? (ψ^0,ψ^∞) with ψ^∞ affine

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- **s** Saddle-nodes with only 1 separatrix? $(\psi^{\mathsf{0}},\psi^{\infty})$ with ψ^{∞} affine
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 - $\rightarrow \psi^{\infty}$ affine \Longrightarrow only n = 1?